

RESOLUTION TO ADD AN ETHICAL INVESTMENT POLL TO THE ANNUAL BALLOT

UGS-

AUTHOR: Jonathan Lipman, ASSU Senator; Richa Gupta, SSE Investment Analyst

DATE SUBMITTED: March 29th 2021

ACTION REQUESTED: $\frac{2}{3}$ approval of ASSU Legislative Bodies

WHEREAS The ASSU seeks to ensure its funds are invested in an ethical manner

WHEREAS The ASSU has already transitioned the majority of its equity investments to mutual funds offered by Vanguard that are screened using ESG criteria in response to student feedback (You can find more information [here](#) and [here](#))

WHEREAS The ASSU is hoping to create processes to facilitate greater feedback mechanisms with the student body by gathering regular data on the preferences of the student body as they relate to ethical investment related issues on the annual Spring ballot

WHEREAS The ASSU plans to use the gathered data as high level guidance for the cardinal fund -- a class the SSE branch of the ASSU runs periodically on ethical investing.

WHEREAS The ASSU eventually hopes to use the data in a broader scope once the the sophistication and granularity of easily available ESG sensitive investment products expands

WHEREAS The ASSU hopes this exercise will be an educational opportunity that engenders further debate and education

THEREFORE BE IT RESOLVED BY THE ASSU Legislative bodies:

THAT The following section shall be submitted to a popular vote for addition to the ASSU Constitution Article VI Section 4 as Part E.

The Spring Election ballot shall include a question or a number of questions to solicit input from the student body on their ethical preferences as they pertain to the ASSU's investment accounts. The questions shall be determined by the SSE investment manager with consultation and approval by the FM/CEO. The questions shall be advisory, and ultimate investing authority remains with the FM/CEO and investment manager.

THAT The following referendum shall be placed on the ballot: [Spring Ballot - Ethical Investment Questions](#)